



**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Poerner, et al.

Serial No.:

10/666,227

Filed:

September 18, 2003

Title:

System and Method for

Navigating an HMI

Examiner:

Unknown

Art No.:

2176

Atty. Dkt. No.: 2002P15657US01

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Commissioner for Patents Mail Stop: I.D.S. P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98 and to the duties imposed by 37 C.F.R. § 1.56, the Examiner in charge of the above-identified cases is requested to consider and make of record the references listed on Form PTO 1449 submitted herewith.

A copy of the publications is also enclosed. Applicants respectfully request that an initialed copy of the Form PTO-1449 be returned in accordance with M.P.E.P. § 609. In accordance with 37 C.F.R. §1.97 (g), the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information reported is, or is considered to be, prior art or material to patentability. The filing of this information disclosure statement shall not be construed as a representation that a search has been made.

Because this information disclosure statement is being filed before the mailing date of the first Office Action on the merits, applicants submit that no fees are required. The Assistant Commissioner, however, is authorized to charge any fees or credit overpayments to **Deposit Account No. 19-2179** to ensure proper consideration of the reported references.

Respectfully Submitted,

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Dated: 8 / 26/64

NUG 3 0 2004 Please type a plus sign (+) inside this box

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/666,227	
INFORMATION DISCLOSURE			CLOSURE	Filing Date	9/18/2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Poerner, et al.	
				Group Art Unit	2176	
	(use as many she	ets as	necessary)	Examiner Name	Not yet assigned	
Sheet	1	of	1	Attorney Docket Number	2002P15657US01	

U.S. PATENT DOCUMENTS				
Examiner Initials	Patent No. Name of Patentee or Applicant of Cited Document		Date of Publication of Cited Document	
	5,911,145	Arora	6/8/1999	
	6,237,006	Weinberg	5/22/2001	
	6,282,454	Papadopoulos	8/28/2001	
	6,421,571	Spriggs	7/16/2002	

	F	OREIGN PATENT DOCUMENTS	
Examiner Initials	Patent No.	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropria title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s volume-issue number(s), publisher, city and/or country where published.		
	"SIMATIC WinCC Version 6.0 New Perspectives on Process Visualization", Copyright 2003, pages 1 – 12, Siemens AG, Federal Republic of Germany.		
	"Overview of SIMATIC WinCC Process Visualization and Platform for IT & Business Integration", Copyright 2003, pages 1 – 16, Siemens AG, Federal Republic of Germany.		
	BARON, MANFRED, "Process Visualization – Up to Date", Computer & Automation, January 2003, pages 1-4, Siemens.		
	"SCADA: The Strategic Centre, IT & Business Integration", IT & Production V+VI, 2002, pages 1-4, Siemens.		

Examiner	Date	
Signature	Considered	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.